

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

POI Supplemental Info

Case : 24-50792 Meta Materials INC

For : [REDACTED]

Broker : E Trade / Morgan Stanley

Acct # : [REDACTED] - 5607 Individual

Acct # : [REDACTED] 84-202 Self Directed as of Sept 2023

Transactions : Pre Splits

					\$36,276.3
6/21/21	Purchased	3607	TRCH @ \$10.06	=	\$36,280.42
11/17/22	Purchased	497	MMAT @ \$1.82	=	\$896.08
12/14/22	Purchased	1	MMAT @ \$1.37	=	\$1.37
12/14/22	Purchased	10	MMAT @ \$1.37	=	\$13.70
9/22/23	Purchased	9,085	MMAT @ \$.2035	=	\$1,848.80
9/22/23	Purchased	8,800	MMAT @ \$.2031	=	\$1,787.28
9/22/23	Purchased	1,433	MMAT @ \$.2032	=	\$291.19
9/22/23	Purchased	338	MMAT @ \$ 20.33	=	\$68.72
10/23/23	Purchased	1715	MMAT @ \$.1457	=	\$249.88

2024 No Trades in MMAT

Total Shares held as of 12/10/2024 237

Total Invested Pre splits \$41,433.38

Account Detail

Self-Directed Brokerage Account
[REDACTED] 84-202

STOCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Morningstar, Inc.'s equity research ratings are shown for certain securities. These ratings represent the opinions of the research provider and are not representations or guarantees of performance. The applicable research report contains more information regarding the analyst's opinions, analysis, and rating, and you should read the entire research report and not infer its contents. For ease of comparison, Morgan Stanley and Morningstar, Inc.'s equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Refer to your June or December statement for a summary guide describing the ratings. We do not take responsibility for, nor guarantee the accuracy, completeness, or timeliness of research prepared for Morningstar, Inc.

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CONTRA WORLDWIDE DIVER <i>Asset Class: Equities</i>	3,625.000	N/A	\$0.00	N/A	N/A	—	—
FM4 NXTBRG HYDROCARBONS CONTRA <i>Asset Class: Equities</i>	9,207.000	N/A	14,118.07	N/A	N/A	—	—
GAMESTOP CORP CL A NEW (GME) <i>Asset Class: Equities</i>	350.000	22.180	10,025.75	7,763.00	(2,262.75)	—	—
HOUSTON NAT RES CORP (HNRC) <i>Asset Class: Equities</i>	7,250.000	0.021	3,124.45	148.63	(2,975.82)	—	—
META MATERIALS INC NEW (MMATQ) <i>Asset Class: Equities</i>	237.000	0.071	41,433.38	16.83	(41,415.18)	—	—
NATIONAL STORM MGMT-SHS B (TORNZ) <i>Asset Class: Equities</i>	1,575.000	N/A	0.00	N/A	N/A	—	—
ROBLOX CORP CL A (RBLX) <i>Rating: Morgan Stanley: 2, Morningstar: 2; Asset Class: Equities</i>	1.000	51.720	69.98	51.72	(18.26)	—	—
SNDL INC (SNDL) <i>Asset Class: Equities</i>	13.000	2.080	103.66	27.04	(76.62)	—	—
TARONIS TECHNOLOGIES INC <i>Asset Class: Equities</i>	7,030.000	N/A	10,724.27	N/A	N/A	—	—
THUNDER ENERGIES CORP (TNRG) <i>Asset Class: Equities</i>	3,290.000	0.028	3,046.89	92.12	(2,954.77)	—	—
TOYS R US ANZ LTD NEW (TOYRF) <i>Asset Class: Equities</i>	3,000.000	0.039	231.95	116.38	(115.57)	—	—
	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	99.83%		\$82,878.40	\$8,215.72	\$(49,818.97)	—	—

Security Mark
at Right



from Morgan Stanley

CLIENT STATEMENT | For the Period October 1-31, 2023

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Account Detail

Self-Directed Brokerage Account

4-202

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	99.32%	\$177,101.34	\$31,524.11	\$(120,734.89)	—	—
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$177,101.34	\$31,741.53	\$(120,734.89)	\$0.02	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$217.42	—	—	—	—	—
Stocks	—	\$31,524.11	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$217.42	\$31,524.11	—	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
10/2		Online Transfer	ACH WITHDRAWL	REFID:90173676906;			\$(300.00)
10/5		Online Transfer	ACH WITHDRAWL	REFID:90517163906;			(600.00)
10/23	10/25	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	1,715.000	0.1457	(249.88)
10/31		Interest Income	MORGAN STANLEY BANK N.A.	(Period 10/01-10/31)			0.01
NET CREDITS/(DEBITS)							\$(1,149.87)

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Activity Date	Activity Type	Description	Credits/(Debits)
10/3	Automatic Redemption	BANK DEPOSIT PROGRAM	\$(300.00)



CLIENT STATEMENT | For the Period September 1-30, 2023

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Self-Directed Brokerage Account
[REDACTED] 24-202

Account Detail

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	95.94%	\$176,851.46	\$32,299.54	\$(119,709.57)	—	—
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$176,851.46	\$33,666.83	\$(119,709.57)	\$0.14	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$1,367.29	—	—	—	—	—
Stocks	—	\$32,299.54	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$1,367.29	\$32,299.54	—	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
9/1		Other Credits	BANK DEPOSIT PROGRAM		165.690		\$165.69
9/22	9/26	Sold	NORTHWEST BIOTHERAPEUTICS	ACTED AS AGENT UNSOLICITED TRADE	5,290.000	0.9840	5,197.59
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	9,085.000	0.2035	(1,848.80)
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	8,800.000	0.2031	(1,787.28)
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	1,433.000	0.2032	(291.19)
9/22	9/26	Bought	META MATERIALS INC	ACTED AS AGENT UNSOLICITED TRADE	338.000	0.2033	(68.72)
NET CREDITS/(DEBITS)							\$1,367.29

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

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Trade Date	Settlement Date	Quantity	Price	Settlement Amount
09/22/2023	09/26/2023	1,433	0.2032	Principal \$291.19
Transaction Type: Bought				Net Amount \$291.19

Description: META MATERIALS INC

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

09/22/2023	09/26/2023	8,800	0.2031	Principal \$1,787.28
Transaction Type: Bought				Net Amount \$1,787.28

Description: META MATERIALS INC

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

09/22/2023	09/26/2023	5,290	0.984	Principal \$5,205.36
Transaction Type: Sold				FINRA TAF \$0.77
				Commission \$6.95
				Supplemental Transaction Fee \$0.05
				Net Amount \$5,197.59

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

Applicable disclosures are listed here by number(s): None.

Morgan Stanley Smith Barney LLC. Member SIPC. The transaction(s) may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services. E*TRADE is a business of Morgan Stanley.

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Your Account Number: [REDACTED] 4-202

Account Type - Cash

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This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page.

Trade Date	Settlement Date	Quantity	Price	Settlement Amount
10/23/2023	10/25/2023	1,715	0.1457	Principal \$249.88
Transaction Type: Bought				Net Amount \$249.88

Description: META MATERIALS INC

Symbol / CUSIP / ISIN: MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent.

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from Morgan Stanley

E*TRADE Securities
Investment Account

Account Number: 5607

Statement Period : December 1, 2022 - December 31, 2022

Account Type: INDIVIDUAL

PREFERRED STOCKS (6.11% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
AMC ENTERTAINMENT HOLDINGS INC AMC PRFRD Eqty UNITS EACH CNSTNG OF DPSTBY SHR RPRSNTNG 1/100	APE	Cash	2,542	1.4100	3,584.22	6.11		
META MATLS INC PFD SER A	59134N203	Cash		2.8950	0.00	0.00		
META MATLS PREFERRED STOCK CONTRA CUSIP	591994371	Cash	9,207		0.00	0.00		
TOTAL PREFERRED STOCKS					\$3,584.22	6.11%		
TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/22)					\$58,639.88			

TRANSACTION HISTORY**SECURITIES PURCHASED OR SOLD**

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
11/30/22 10:22	12/02/22	META MATLS INC PFD SER A	MMTLP	Sold	1,500	8.7600		13,132.54
12/07/22 09:46	12/09/22	HOUSTON NAT RES CORP COMMON STOCK	HNRC	Bought	2,750	0.4275	1,175.63	
12/07/22 09:46	12/09/22	HOUSTON NAT RES CORP COMMON STOCK	HNRC	Bought	7,250	0.4300	3,124.45	
12/14/22 09:30	12/16/22	META MATLS INC COMMON STOCK	MMAT	Bought	1	1.3700	1.37	
12/14/22 09:30	12/16/22	META MATLS INC COMMON STOCK	MMAT	Bought	10	1.3700	13.70	
TOTAL SECURITIES ACTIVITY							\$4,315.15	\$13,132.54

**E*TRADE Securities**

Investment Account

TRADE CONFIRMATION

Account Number: XXXX-5607

Account Name: **E*TRADE Securities LLC**

P.O. Box 484

Jersey City, NJ 07303-0484

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TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
11/17/22	11/21/22	6 1	MMAT	BUY	491	\$1.825	Cash	PRINCIPAL	\$896.08
META MATLS INC COMMON STOCK									NET AMOUNT \$896.08
11/17/22	11/21/22	6 1	REVRQ	SELL	775	\$1.17	Cash	PRINCIPAL	\$906.75
REVLON INC COMMON STOCK									COMMISSION \$6.95
									FINRA TAF \$0.10
									FEE 0.03
									NET AMOUNT \$899.67

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CHAD J HAGMAN
6710 ARGENTA TRL
INVER GROVE MN 55077-2209

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Use This Deposit Slip Acct: XXXX-5607

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Mail deposits to:



E*TRADE Securities LLC
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TOTAL DEPOSIT

Dollars	Cents

111720220001 900668356075

**E*TRADE Securities**

Investment Account

TRADE CONFIRMATION

Account Number: XXXX-5607

Account Name:

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484

Customer Update

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TRADE DATE	SETT DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
12/14/22	12/16/22	6 1	MMAT	BUY	1	\$1.37	Cash	PRINCIPAL	\$1.37
META MATLS INC COMMON STOCK								NET AMOUNT	\$1.37
12/14/22	12/16/22	6 1	MMAT	BUY	10	\$1.37	Cash	PRINCIPAL	\$13.70
META MATLS INC COMMON STOCK								NET AMOUNT	\$13.70

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TOTAL DEPOSIT

Dollars	Cents

121420220001 900668356075


E*TRADE Securities
Investment Account

Account Number: 5607

Statement Period : November 1, 2022 - November 30, 2022

Account Type: INDIVIDUAL

PREFERRED STOCKS (58.69% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST ANNUAL INCOME	EST ANNUAL YIELD (%)
AMC ENTERTAINMENT HOLDINGS INC AMC PRFRD Eqty UNITS EACH CNSTNG OF DPSTRY SHR RPRSNTNG 1/100	APE	Cash	2,542	0.9718	2,470.32	1.81		
META MATLS INC PFD SER A	MMTLP	Cash	10,707	8.1700	87,476.19	57.08		
TOTAL PREFERRED STOCKS					\$89,946.51	58.69%		
TOTAL PRICED PORTFOLIO HOLDINGS (ON 11/30/22)					\$153,255.22			

TRANSACTION HISTORY**SECURITIES PURCHASED OR SOLD**

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
10/31/22 09:30	11/02/22	META MATLS INC PFD SER A	MMTLP	Bought	1,500	6.6000	9,906.95	
11/17/22 15:09	11/21/22	META MATLS INC COMMON STOCK	MMAT	Bought	491	1.8250	896.08	
11/17/22 15:07	11/21/22	REVLON INC COMMON STOCK	REVRO	Sold	775	1.1700		899.67
TOTAL SECURITIES ACTIVITY							\$10,803.03	\$899.67

UNSETTLED TRADES

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
11/30/22		META MATLS INC PFD SER A PERPETUAL	MMTLP	Sold	1,500	8.7800		13,132.54

Account Number: 5607

Statement Period : July 1, 2021 - July 31, 2021

Account Type: INDIVIDUAL

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
07/28/21	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT INTEREST			0.02
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$0.02
NET DIVIDENDS & INTEREST ACTIVITY					\$0.02

WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
07/15/21	Transfer	ACH WITHDRAWAL REFID:29826426908	40,000.00	
NET WITHDRAWALS & DEPOSITS			\$40,000.00	

OTHER ACTIVITY

DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT DEBITED	AMOUNT CREDITED
07/02/21	META MATLS INC COMMON STOCK CIL ON 0.50000@ 6.34620	MMAT	Cash in Lieu				3.17
07/07/21	META MATLS INC PFD SER A SPINOFF ON 3607 SHS TORCHLIGHT ENERGY RESOURCES REC 06/24/21 PAY 06/25/21 AS OF 07/06/21	59134N203	Bought	3,607			
TOTAL OTHER ACTIVITY							\$3.17
NET OTHER ACTIVITY							\$3.17

EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY (0.0100% APY/0.0100%APY Earned as of 07/31/21)

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
07/01/21		OPENING BALANCE	\$175.73
07/02/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	3.17
07/07/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	1,860.89
07/15/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	42,667.94

Account Number: 5607

Statement Period : June 1, 2021 - June 30, 2021

Account Type: INDIVIDUAL

SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
06/18/21 09:46	06/22/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	-78	63.5751		4,958.82
06/21/21 14:26	06/23/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	-567	55.5217		31,480.56
06/21/21 14:29	06/23/21	TORCHLIGHT ENERGY RESOURCES INC	TRCH	Bought	3,607	10.0600	36,286.42	
TOTAL SECURITIES ACTIVITY							\$46,611.41	\$49,782.64

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
06/28/21	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT INTEREST			0.01
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$0.01
NET DIVIDENDS & INTEREST ACTIVITY					\$0.01

WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
06/11/21	Transfer	ACH WITHDRAWAL REFID:27120434906;	3,000.00	
06/29/21	Fee	REORG FEE REVERSAL(89102U103) REFID:21179108904665;		38.00
NET WITHDRAWALS & DEPOSITS			\$2,962.00	

OTHER ACTIVITY

DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT DEBITED	AMOUNT CREDITED
06/28/21	META MATLS INC COMMON STOCK RESULT OF REVERSE SPLIT	MMAT	Reverse Splt	1,803			
06/28/21	TORCHLIGHT ENERGY RESOURCES INC MANDATORY REORG FEE CHARGED	89102U103	Fee			38.00	